

**Al Falah University,  
Faridabad**



**THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)  
Ph.D. REGULATIONS 2018**

These Regulations for Ph.D. Programme are prepared and updated keeping in view of the **University Grants Commission (Minimum Standards and Procedure for Award of M.PHIL./PH.DDegrees) Regulations, 2016.**

*[https://www.ugc.ac.in/pdfnews/4952604\\_UCG-\(M.PHIL.-PH.D-DEGREES\)-REGULATIONS,-2016.pdf](https://www.ugc.ac.in/pdfnews/4952604_UCG-(M.PHIL.-PH.D-DEGREES)-REGULATIONS,-2016.pdf)*

**{In supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degree)Regulation, 2009}, notified on 5th May 2016.**

This Regulation known as “**THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.) Ph.D. REGULATIONS 2018**”will be applicable to all student /Research scholars registered for all Ph.D. programme of Al-Falah University from year 2018 onwards.

**DEFINITIONS:**

In these Regulations made herein under, unless the context otherwise required;

1. **University** means Al-Falah University
2. **AFU** means Al Falah University , Faridabad. Haryana
3. **Applicant** shall mean an individual who applies for admission to the Ph.D. programme
4. **Supervisor** means the major research guide of a Ph. D. Student from AFU.
5. **Co-Supervisor** means an additional research guide of a Ph.D. student.
6. **BOM** shall mean the Board of Management of AFU
7. **BORS shall** mean the Board of Research Studies of AFU as defined in the ordinance.
8. **COE** shall means the Controller of Examinations of AFU
9. **Candidate** shall mean a person registered for the Ph.D. Degree and who has successfully completed the course requirement, the comprehensive examination and submitted an approved research plan; as per of the Regulations.
10. **Course Advisor shall** mean a faculty member nominated by the Department/ School to chalk-out the programme of study of a student registered for the Ph.D. and to advise him on the courses to be taken by him. If a Supervisor(s) has already been appointed, he shall be the Course Advisor for that student.
11. **Course Work shall** mean courses of study prescribed by the Department/ School through the Course Advisor to be undertaken by a student registered for the Ph.D. Degree.
12. **Degree shall** mean the Degree of Doctor of Philosophy (Ph.D.) of Al Falah University, Faridabad.
13. **Department Research Advisory Committee (DRAC)** for each Ph.D. scholar convened by Research Supervisor of the student chaired by DEAN or his nominee.
14. **Minimum Registration Period shall** mean the minimum period for which a candidate must be registered, including the time spent as student before becoming a candidate, prior to submission of the thesis.
15. **Part-time Research Student/Candidate shall** mean a person who is registered for the Ph.D. degree and will devote part of his time towards this pursuit and devote part of his time towards the discharge of his official obligations.
16. **Regular Research student/scholar/ national scholarship holder for Ph.D.** will devote minimum of 40 hours/week for his/her Ph.D. work.
17. **Registration Period** shall mean the length of time span commencing with the date of initial registration at the Institute till the completion of the programme.
18. **Sponsored Research Student/Candidate** shall mean a full time research student/ candidate except that he receives complete financial support from the sponsoring organization/ his employer.
19. **Student shall** mean a person registered for the Ph.D. degree prior to becoming a candidate.

**Note :** 'He' & 'His' imply 'he'/'she' and 'his'/'her' respectively

## **REGULATIONS**

### **1. Eligibility Criterion for Admission**

- 1.1 A candidate shall be eligible for admission to the programme of study leading to the degree of Doctor of Philosophy if he/she has obtained M.Phil. or Master's degree of the university or a degree recognized by the university for this purpose as its equivalent in the relevant field or allied subject with at least 55% of marks (with a minimum Cumulative Grade Point Average (CGPA) of 6.0 on a 10 point scale). Candidates belonging to SC, ST, Minority/differently able persons shall be eligible for relaxation up to 5% of minimum eligible marks.
- 1.2 Provided, that the cases of students who have passed the examination outside the Indian universities and who may not have obtained a Master's or M. Phil. Degree may be considered for admission to the course, subject to their equivalence as adjudged by the AIU foreign university degree equivalence list.
- 1.3 Candidates possessing a Degree considered equivalent to M.Phil. Degree of an Indian Institution, from a Foreign Educational Institution which is approved, recognized or authorized by AIU, shall be eligible for admission to Ph.D. programme.

### **2. Duration of the Programme:**

- 2.1 Ph.D. programme shall be for a minimum duration of **two and half years** after the successful completion of the course work and a maximum of six years.
- 2.2 Extension beyond the above limits will be governed by the provisions as stipulated in the Statute/Ordinance of the Al-Falah University
- 2.3 Persons with Disability (more than 40% disability) will be allowed a relaxation of two years for Ph.D. in the maximum duration.

**3. Procedure for admission:**

- 3.1 The University shall issue **notification for admission to Ph.D. degree programme** once or twice in a year depending on the availability of vacancies. The notifications will be available on the university portal and notice board for at least 30 days. The candidates should apply in the prescribed form (**Annexure- I**), through proper channel wherever applicable, before the due date as indicated in the notification issued. The application form may be obtained from the Office of the admission cell of the University by paying a requisite fee.
- 3.2 Every application for admission shall be submitted to the Ph.D. admission coordinator along with the fee within last date as given in the Notification issued.
- 3.3 The list of candidates found eligible to appear for **an Entrance Test** along with the admission schedule shall be notified on the Notice Boards and the website of the university.
- 3.4 NET/GATE qualified students (of last three years) and current scholarship holders of National scheme (of UGC / CSIR/ PM scheme) need not appear for test, but their selection to Ph.D. programme will be subjected to the approval of BORS after reviewing their synopsis/ proposed project work and facilities available in the university.

**4. Entrance Test:**

- 4.1 The Entrance Test as suggested by UGC is for short-listing of the eligible applicants for Ph.D. programme which will be considered by the BORS.
- 4.2 The admission of the students shall be made strictly on the basis of merit adjudged through entrance test aimed at assessing knowledge, comprehension and aptitude of the student to peruse research through

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written test/ viva/ group discussion / personal interview or combination of these. The merit list shall be prepared in the following manner giving weightage to all examinations and entrance tests.

- 4.3 Provided however that the candidate will have to secure a minimum pass marks in the entrance test so as to be ranked in the order of merit.

Exam	Mark /Grades obtained	Grade Equivalent marks	Weightage	Calculation	Weighted Marks	Extra Marks for Position Holders 1 <sup>st</sup> , 2 <sup>nd</sup> and 3 <sup>rd</sup> as 50, 30 and 20
High school 10 <sup>th</sup> board			100	Marks%		
10+2 board Intermediate			100	Marks%		
Graduation degree B.Tech., BSc, BA etc			200	Marks% X 2		
Post Graduation degree M.Tech., MSc, MA etc			300	Marks% X 3		
Entrance test		70% for NET/GATE	300	Marks% X 3		
Total			1000			

- 4.4 The university shall call such number of candidates in ratio 1:3 for personal interview / viva/ group discussion.
- 4.5 The admission committee of Al-Falah university will fixed the date time and venue of the Entrance test in consultation with BORS
- 4.5 The university reserved the rights to offer admission for the course in an academic year. The same may be withheld in a particular year for want of vacancy / eligible candidate/ or other circumstances.

### **5 Entrance Test papers:**

- i. Entrance Test shall be of 200 marks and a candidate must secure passmarks to qualify the same.
- ii. The Entrance test shall be of two hour duration, consists of two parts, Part-A and Part-B of 60 minutes each.

- iii. The Paper 'A'(of 100 Marks) shall include 50 multiple choice (objective type) questions of two marks each. It shall be common to all applicants for admission to the Ph. D. It is mandatory to pass this part of the paper with minimum of 50% marks. Questions will be, as suggested by UGC, on Teaching and Research aptitude, Reasoning, Comprehension, Communications, Language, Data interpretation, ICT, People and Environment, writing and analytical skills, and contemporary issues relevant to research and higher education system- Governance, Polity and administration.
- iv. The Question Paper 'B' of the shall be devoted to knowledge of the subject in general in the area concerned, such as Engineering and Technology/ Sciences/Medical Science/ Life Sciences / Management / Education and the advanced knowledge of subject concerned and that of the specialization, if any. It may also include a set of general aptitude test questions. It will be of 100 marks. The paper B will include multiple choices (objective type) questions MCQ and a set of subjective types/ numerical answer type (NAT) questions.
- v. The Vice-Chancellor shall appoint examiner (s) for setting of the question papers.

**6. Interview:**

In case, more number of qualified applicants than the seat available, a merit list will be made as above (see section 4.4) and only thrice the number of applicants (of number of vacancy in PhD in each Department/School) will be called for interview.

- i. The candidates who qualify in the Entrance Test, along with the candidates exempted from appearing in Entrance Test under the provisions(section 3.4), shall be required to submit a preliminary Research Proposal to the university.
- ii. The Research Proposal shall include the broad subject of research, references/ bibliography on the subject, and any other relevant information the researcher would like to furnish in support of his/her proposal.

- iii. The candidates, who submit the Research Proposal, as per the schedule notified, shall be called for Interview /personal interaction.
- iv. These candidates will be required to give a presentation in PPT and defend the research proposal before the Board of Research Studies.
- v. The BORS based on the performance of candidates in entrance test shall draw a merit of the qualified candidates for provisional admission keeping in view availability of seats. It shall also recommend to the Vice Chancellor for his approval the names of Supervisors and Co-supervisors of each candidate which will be subsequently reported to the Academic Council. Selected candidates shall be provisionally registered after remitting prescribed fee.
- vi. It is further clarified that wait-listed candidates may be adjusted against future vacancies being available till the holding of the next Entrance Test or within one year, whichever is earlier.

**7. Course Work :**

**Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.**

7.1 The course work is treated as prerequisite for Ph.D. preparation.

7.2 The credit assigned to the Ph.D. course work are of sixteen credits.

- i. Four credits shall be assigned to a course on Research Methodology which could cover are as such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc.
- ii. Other course of four credits shall be advanced level subject courses preparing the students for Ph.D. degree.
- iii. Eight credits for Literature Review work presented in a bound report form of the topic suggested by the DRAC which may include at least latest twenty research papers in the field or two reviews of the book in case of Ph.D. non-technical topic.
- iv. COE will constitute the reviewer Board who evaluate this work.

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- 7.3 All courses prescribed for Ph.D. course work are in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They will follow the approved University's Choice based Credit system implemented in 2015.
- 7.6 Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. may be exempted by the BORS from the Ph.D. course work.
- 7.7 All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.
- i. All candidates provisionally admitted to Ph.D. programme shall have to complete 'Course Work' of at least one semester.
  - ii. All candidates shall have to meet the minimum requirement of attendance as per existing university regulation/ordinance.
  - iii. If a candidate fails to successfully complete the prescribed course work within the given period, he may be given one more chance to successfully complete the course work.
  - iv. Total time to complete course cannot exceed two years / 4 semesters.
  - v. The course work may consist of the following:
    - a) A specially designed course on a specific subject related to the topic of the Ph.D. of at least one semester duration.
    - b) Course work should include at least two papers of 100 marks each (4 credits each), one of which shall be a paper on research methodology, which may include quantitative methods and computer application.
    - c) Developing annotated bibliography on and around the theme of research/ literature survey covering latest books and reviewing latest research papers in the relevant field as guided by supervisor or co-supervisor (Evaluated with Eight Credits).
    - d) The Department where the Ph.D student pursues his research shall prescribe the course(s) to him based on the recommendations of the BORS



**8. Evaluation of Course Work :**

- 8.1 The course work as above shall comprise of an internal assessment of 35 marks and semester end examination of 65 marks for each paper.
- 8.2 A candidate having obtained a minimum of 40 % of marks in aggregate of internal assessment and semester end examination in each paper shall be declared to have successfully completed the course work.
- 8.3 The maximum marks for developing annotated bibliography/ reviewing of research papers will be 100 and the candidates will be required to secure at least 50 % of marks in this component separately in order to become successful in the examination.
- 8.4 The modalities of evaluation of these components of the course work shall, however, be decided by the concerned departments / BORS.

Provided further that if a candidate fails to successfully complete the course work in the stipulated period as given in section 7.7 (iv), his admission shall be cancelled.

**9. Synopsis of Proposed Research for Registration for PhD:**

After successful completion of pre-Ph.D. Course Work, the candidate shall be required to register to Ph.D. programme, for which, he has to prepare a Synopsis of the proposed research work in consultation with his Research Supervisor.

The Synopsis will include:

- (1) Topic of research,
- (2) Objectives
- (3) Current state of the literature related to the proposed topic,
- (4) Proposed Research Work,
- (5) Proposed Methodology,
- (6) References and
- (7) Any other relevant information the researcher would like to submit in support of the proposed research.

**10. Registration for PhD:**

- 10.1 Only after successful completion of Course Work from the university or from other well-known university, registration of the applicant will be confirmed he will be enrolled for PhD in the university.
- 10.2 The candidate from other university shall be required to prepare a Synopsis as above (given in 9) of the proposed research work.
- 10.3 The candidate will submit the Synopsis to the concerned Department for consideration/ approval of the Board of Research Studies.
- 10.4 The registration of the candidate will be confirmed to Ph.D. programme after his Synopsis of Proposed Research has been approved by BORS.
- 10.5 The candidate shall pay required fees.
- 10.6** BORS will submit a report on the admission and registration of all Ph.D. to the Academic Council.

**11 Eligibility criteria to be a Research Supervisor, Co- Supervisor, Number of M.Phil./Ph.D. scholars permissible per Supervisor, etc.**

- 11.1 Any regular Professor of the University with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the university/institution deemed to be a university/college with a Ph.D. degree and at least two research publications in refereed journals may be recognised as Research Supervisor/co-supervisor.
- 11.2 BORS of the university may decide/ relax to appoint a person as supervisor in areas/disciplines where there is no or only a limited number of refereed journals, with reasons in writing.
- 11.3 Only a teacher of the University will act as a supervisor.
- 11.4 The external supervisors and person of high repute and publications can be appointed as Co-Supervisor.
- 11.5 A Research Supervisor who is a Professor, at any given point of time, can guide up to Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an

Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

- 11.6 As per UGC guidelines, in case of relocation of a Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done. There will be no refund of university fee in any form.

**12. Appointment of Supervisors and Co-Supervisors:**

- a) The BORS shall appoint a Ph. D. Supervisor of a candidate from amongst the teachers of the departments or other academic staff in equivalent grade including those who are appointed in project mode to guide the candidate in his research work.
- b) While appointing a Supervisor, the Board of Research Studies shall ensure that a teacher appointed as a Supervisor meet the UGC requirement as mentioned above in section 11.1.
- c) The BORS may appoint Adjunct Professors of the university with Ph.D. and ten years of Teaching and Research experience with at least 10 research papers.as a Ph. D. Supervisor
- d) Provided that the Board of Research Studies (BORS) may appoint a Professor without a Ph.D. Degree as Supervisor of a candidate if he possesses at least 10 years of PG teaching/ research experience and has published books of Post-graduation level or at least 10 research papers of which at least 5 are in referred Journal with high impact factor published in US /UK /Europe etc.
- e) If the proposed topic of the research, so warrants, the BORS may also appoint Co-supervisor (s), either from within or outside the University.
- f) If a candidate is to carry the part of his/her Ph. D. work in a foreign country, the BORS may recommend the appointment of a co-supervisor from the foreign

institution concerned having International collaborative research agreement as per UGC guidelines.

- g) If a teacher is on a long leave of one year or more duration, the Board of Research Studies may not consider him as Supervisor/ Co-supervisor.
- h) If the period of the retirement of a teacher is not more than two years, the BORS may recommend his name as Supervisor of a candidate to undertake his research work. However, in all such cases a Co-supervisor shall also be appointed from within the Department.

Provided that after the retirement of the supervisor, the co-supervisor shall become the supervisor of the concerned candidate and the supervisor, if so agrees, shall be made co-supervisor.

**13. Ph.D. Supervision in Special Circumstances or Change of supervisor:**

BORS may appoint a Supervisor in special circumstances given below, on merit of the case or may recommend the change of the Supervisor with the approval of Vice Chancellor.

- a. If a Supervisor resigns or proceeds on long leave after two years of registration of a candidate.
- b. In case of death of a Supervisor appoints a new Supervisor of the said Ph.D. student.
- c. In case of the Supervisor, by reason of illness, absence or any other causes, is unable to supervise the scholar.

**14. Departmental Research Advisory Committee (DRAC) and its functions:**

**14.1** There shall be a Department Research Advisory Committee (DRAC) of Al Falah University, for every Ph.D. scholar. The Research Supervisor of the student shall be the Convener of this Committee chaired by Dean of the school and HoD as member. In the case, where Supervisor is either HOD or Dean of the school Supervisor may include on more professor/Associate professor with the consent of the BORS.

**14.2** This Committee (DRAC) shall have the following responsibilities:

14.2.1 To review the research proposal and finalize the topic of research;

- 14.2.2 To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- 14.2.3 To periodically review and assist in the progress of the research work of the research scholar.
- 14.3** A research scholar shall appear before the Departmental Research Advisory Committee once in six months to make a presentation of the progress of his work for evaluation and further guidance. The six monthly progress reports shall be submitted by the DRAC to the BORS of the Al Falah University with a copy to the research scholar.
- 14.4** DRAC will keep the record of the progress including the publication, conference presentation and visit to different locations /Laboratories in connection with Ph.D. work of each student and update the progress of each Ph.D. student separately to BORS.
- 14.5** In case the progress of the research scholar is unsatisfactory, research scholar shall be warned and matter will be placed before the Departmental Research Advisory Committee who shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the BORS of the university with specific reasons for cancellation of the registration of the research scholar after giving the research student enough time in writing.
- 14.6** Prior to the submission of the dissertation/thesis, the student shall make a presentation in the Department before the Departmental Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.
- 14.7** Ph.D. student must publish at least two research papers in refereed journal and make two paper presentations in conferences/seminars before

the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and reprints.

**14.8** BORS of the university recommend to DRAC to check for plagiarism and other forms of academic dishonesty.

**14.9** BORS shall evolve a mechanism using well developed software and gadgets to detect plagiarism. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.

## **15 THESIS:**

### **15.1 Ph.D. Thesis and its submission**

**15.1.1** A candidate shall submit to the Controller of Examinations, 7 copies of the thesis along with 5 copies of the “Abstract” of the thesis, neatly typed or printed and bound in a manner notified separately. Besides, He shall also submit the soft copy on the CD (two each) of the thesis and the “Abstract” of the thesis in PDF format.

**15.1.2** The language of a Ph.D. Thesis shall generally be English. However, a candidate enrolled in languages may write the Thesis in the concerned language with the prior approval of the BORS.

**15.1.3** Provided further, Hindi and Urdu languages may be accepted the language of a Ph.D. Thesis if it is decided and approved by BORS at the time of registration in case of non technical subjects such as Education, History, Political Science etc.

**15.1.4** The Abstract of the Thesis shall be in English, in addition to the language in which the Thesis has been written, and it will be submitted in the format.

**15.2 FORMAT :**

The thesis, must contain, besides the text and common matters like bibliography/references and summary/ conclusions:

- 15.2.1 A preface/introduction in which the candidate shall state whether the thesis is based on discovery of new facts or new interpretation of established facts by others, or based on exhaustive study and critical analysis of published work of others, or design, or development work undertaken.
- 15.2.2 At the end of the Thesis and at the end of the Abstract of the Thesis, the candidate shall give his/her brief profile mentioning date and place of birth, nationality, email address, and academic qualifications research experience, professional experience, if any, and permanent home address.
- 15.2.3 Reprints of published papers and certificate of oral presentation in the international conferences.
- 15.2.4 An abstract of the thesis in about 500 words with minimum 20 key words.
- 15.2.5 Certificates (in standard format given at Annexures) from the supervisor(s) that
  - 15.2.5.1 the work has been carried out under his/her/their supervision,
  - 15.2.5.2 the candidate has fulfilled all prescribed requirements and
  - 15.2.5.3 the thesis which is based on candidate's own work has not been submitted elsewhere for a degree/diploma, supported by plagiarism report by standard software.

- 15.3** A candidate shall be required to submit a “No Dues Certificate” from various offices/ sections /units concerned of the University along with his/her thesis.

**16. Appointment of Ph.D. Examiners:**

- 16.1 On successful completion of Ph.D. work student will submit a copy of his work in the form of Pre Ph.D. thesis. After his pre-Ph.D. thesis approval and followed by pre Ph.D. viva by DRAC, Final form of Ph.D. as mentioned above in Section 15, will be submitted by student within 12 weeks.
- 16.2 A panel of experts in the research field of the student, who will evaluate the thesis shall be prepared by supervisor/ co supervisor on a prescribed format, must have name of at least six expert members. It is expected to have minimum three expert members from Haryana and NCR. Expert can also be from outside India.
- 16.3 The Controller of Examination (COE) within a week of submitting the Thesis by students duly signed by Supervisor and by the Head of the concerned Department, will forward the panel of experts to the Vice Chancellor.
- 16.4 Two experts from the panel shall be appointed as 'external' examiners by Vice Chancellor.
- 16.5 Controller of Examination of university shall forward the thesis to both the examiners who shall report separately on the thesis and forward their recommendation/ report on a format sent by COE within given time period.

**17. Reports /recommendations**

- 17.1 Controller of Examination will examine the reports of the thesis examiners and send it to the Vice Chancellor/ Chairman, BORS.

There may be **four** – possible situations arising out of the nature of the reports, and the steps to be taken appropriate to the circumstances shall be as laid down below:



- a. The examiners are unanimous in recommending the award of the degree on the basis of the thesis without any modification. This is a clear case for going in for the final requirement of viva voce.
- b. The external examiners are unanimous in recommending the award of the degree subject to modification and/or have asked for clarifications. The candidate in that case shall make modifications and provide the clarifications as suggested within a time to be fixed by the BORS which in no case shall exceed six months from the date the communication is sent to the candidate. These may be sent to the examiners, if so desired by them, otherwise internal Examiner will confirm the acceptance of the modification suggested by External Examiners.
- c. One of the external examiners recommends the award while the other external examiner does not recommend the award of the degree and rejects the thesis with suggestion and modifications.
  - i. The BORS in such a case may ask the candidate to modify the thesis as suggested by one of the experts, within a time not exceeding six months and send the modified thesis to the same examiner again.
  - ii. In a case where one of the external experts/examiners does not recommend the award of the degree and rejects the thesis outright, The BORS in such case may ask COE for the appointment of another external examiner from the same panel with the approval of the Vice Chancellor and send the thesis to him in its original form. The recommendation of this 'third' examiner, at this stage, shall be taken as final.
- d. Both the external examiners reject the thesis. The candidate will be given one-year time to resubmit his thesis in new form with approval of the DRAC/BORS.

**17.2 Evaluation of the Ph.D. Thesis and Examiners' Report**

- 17.2.1 In the event of a thesis being rejected by both the external examiners the BORS may permit the candidate for the submission of a revised thesis on an additional payment of the prescribed fees including examination fee, after one-year time, but not later than the time which is the maximum limit of the PhD course.
- 17.2.2 The observations and comments of the examiners, if any, may be copied and given to the candidate on request. In no case should a resubmission of the thesis without modification along the lines of criticism made by the earlier examiners be allowed. The revised thesis shall be referred for assessment to two external examiners selected from the panel of experts recommended by the BORS.
- 17.2.3 In the case, when both the experts reject the revised thesis again the thesis will stand rejected and registration will stand cancelled.
- 17.2.4 Once the reports of the examiners have been accepted as satisfactory the candidate will have to defend his/her thesis before a viva voce board consisting of 'external' examiner and supervisor.
- 17.2.5 In case of either of 'external' examiners are not available to conduct the viva voce the VC at his discretion, may appoint another examiner from the original panel of thesis examiners to conduct the viva voce.

**18 Ph.D. Viva-Voce Examination**

- 18.1 The Viva-Voce Examination shall be conducted under the supervision of the Head of the Department/Dean of the school arranged by COE. In case, the Supervisor is on leave, one of the Co-Supervisor(s), if any, shall act as one of the examiners. In case, none of the Supervisor(s) is available, the Head of the Department concerned (or a nominee appointed by BORS) shall act as one of the Examiners in place of the Supervisor.

- 18.2 After the candidate fulfils all the requirements for Ph.D. degree, the Controller of Examination will provide the copies of the Reports of the Examiners on the Ph.D. thesis to the research supervisor / expert who will be asked to carry out Viva.
- 18.3 The Viva-Voce Examiners shall report (i) whether the candidate is acquainted with the literature on the subject; (ii) whether the thesis is genuinely the work of the candidate, and (iii) whether the candidate possesses capacity for critical examination and judgment. Such report will be submitted in the prescribed format .
- 18.4 If the viva voce board (consists of External examiner, supervisor and HOD) is not satisfied, the candidate has to appear again before the board within the time fixed by COE. COE will coordinate all the examination process.
- 18.5 After the Viva Voce Examination of the candidate, the viva voce board will recommend for the award of Ph.D. degree and submit to the controller of Examination two hard-bound along with the Report of the Examiner who conducted the Viva Voce Examination.
- 19 **Approval of Ph.D. Degree:**  
The on getting formal satisfactory result of PhD viva voce from COE, BORS shall recommend to the BOM the award of the Ph.D. degree if all other requirements have been fulfilled.
- 20 **Notification:**  
On the basis of acceptance reports from BOM for award of Ph.D. Degree, the Controller of Examinations may announce the result and issue the notification.
- 21 **INFLIBNET**  
One of the softcopies of the Thesis and the Abstract will be submitted by the Controller of Examinations to **INFLIBNET** digital depository and another for posting on the University's portal.

- 22 After the recommendations of the BORS either for acceptance of the thesis for the Ph.D. degree or for its rejection have been accepted by the BOM, a copy of the reports of the examiners may be issued to the candidate at his request. However, the names of the examiners are not to be disclosed.

23 **Convocation :Ph.D. Degree**

Prior to the actual award of the degree(during the next coming Convocation), the Al Falah University will issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these Regulations based on UGC regulation 2016.

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**24 Fees** (subject to revision time to time) For current fee see notification of the year.

24.1 Application fee for admission and Entrance test	<b>₹2000.</b>
24.2 PhD admission fee	<b>₹10,000</b>
24.3 Course fee	<b>₹30,000</b>
24.4 Ph.D. Course Fee (after the Course work) is taken in instalments as follows	
<b>24.4.1 For Mathematics/Education/ Management PhD course total Fee</b>	<b>₹. 1,10,000/-</b>
24.4.1.1 First instalment at the time of enrolment after the Course work	₹. 30,000
24.4.1.2 PhD registration/enrolment charges	₹. 10,000
24.4.1.3 Second instalment after 6 months after date of registration of PhD	₹. 25,000
24.4.1.4 Third instalment after 12 months after date of registration of PhD	₹. 25,000
24.4.1.5 Last instalment after 18 months after date of registration of PhD	₹. 20,000
<b>24.4.2 For Science, medicine and Engineering PhD course total Fee</b>	<b>₹. 1,80,000/-</b>
24.4.2.1 First instalment at the time of enrolment after the Course work	₹. 40,000
24.4.2.2 PhD registration/enrolment charges	₹. 10,000
24.1.1.1 Second instalment after 6 months after date of registration of PhD	₹. 50,000
24.1.1.2 Third instalment after 12 months after date of registration of PhD	₹. 40,000
24.1.1.3 Fourth instalment after 18 months after date of registration of PhD	₹. 40,000
24.2 Annual fee after three years of registration till Result is declared	<b>₹. 20,000</b>
24.3 Late fine after due date as per university rules for PG/PhD per day	₹. 100
<b>24.4</b> Pre-Ph.D. Evaluation fee	<b>₹. 2,000</b>
<b>24.5</b> Examination fee for PhD (After the approval of pre Ph.D Thesis)	<b>₹. 12,000</b>
24.6 Re exam of course work fee	₹. 5,000
24.7 Reappear Exam fee (for resubmission of revised thesis)	₹. 10,000
<b>24.8</b> Re exam of Viva voce fee	<b>₹. 5,000</b>